



**Robert G. Bethell Joint Committee on
Home and Community Based Services and
KanCare Oversight**

KDADS Update

November 28, 2017

HCBS October Monthly Summary

HCBS Program	Description of Services	Number of People Eligible to Receive HCBS Services		Number of People on Wait List	Number of Proposed Recipients
		Without MFP	MFP		
Autism	This program provides services to children with Autism to receive early intensive intervention treatment and allow primary caregivers to receive needed support through services.	57	N/A	N/A	289 (as of 10/31/17)
SED (Serious Emotional Disturbance)	The Home and Community Based Services (HCBS) Serious Emotional Disturbance (SED) Waiver serves as an alternative to inpatient psychiatric treatment for children and youth with mental health disorders.	3,251	N/A	N/A	N/A
TA (Technology Assisted)	This program serves individuals who are age 0 through 21 years, chronically ill or medically fragile and dependent upon a ventilator or medical device to compensate for the loss of vital bodily function and require substantial and ongoing daily care by a nurse or other qualified caregiver under the supervision of a nurse to avert death or	491	N/A	N/A	N/A
FE (Frail Elderly)	This program provides an option for Kansas seniors who receive Medicaid and qualify functionally to receive community based services as an alternative to nursing facility care. Services include personal care, household tasks, and health services.	4,824	58	N/A	N/A
TBI (Traumatic Brain Injury)	This program is for individuals who have sustained a traumatic brain injury and provides rehabilitative services and the needed assistance after injury to insure that individuals can stay in their homes and be as independent as possible in a safe, healthy environment. The HCBS/TBI program serves individuals 16 years of age and	450	4	N/A	N/A
I/DD (Intellectual and Developmental Disabilities)	This program serves individuals age 5 and older who meet the definition of intellectual disability or having a developmental disability or are eligible for care in an Intermediate Care Facility for people with Mental Retardation (ICF/MR).	8,963	36	3,603	N/A
PD (Physical Disability)	This program serves individuals age 16 and older who meet the criteria for nursing facility placement due to their physical disability, who are determined disabled by social security standards, and who are Medicaid eligible.	5,953	109	1,318	N/A

Waiting List: Current Efforts

I/DD Waiting List

- 8,963 individuals on the HCBS I/DD Program as of 11/14/2017
- Current number of individuals on the Waiting List is 3,603
- 374 people have been offered services for CY 2017
- 200 I/DD Wait list offers were made in August; 156 have accepted to date

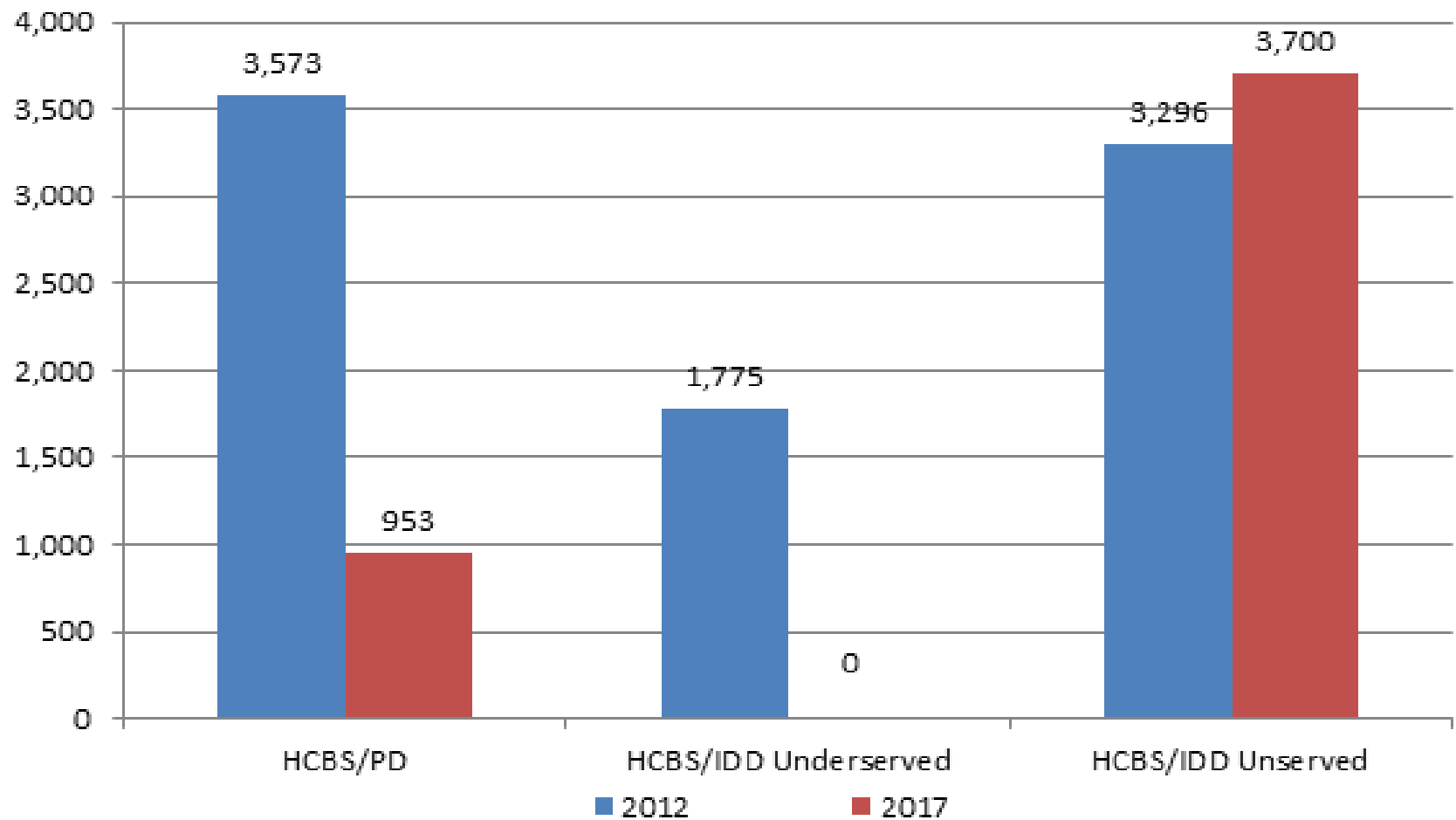
PD Waiting List

- 5,953 individuals on the HCBS PD Program
- Current number of individuals on the Waiting List is 1,318
- 1,162 people have been offered services for CY 2017
- 300 PD waitlist offers were made in September; 137 have accepted to date

The HCBS Monthly Summary is posted on the HCBS page at www.kdads.ks.gov

HCBS Waitlist:

HCBS Wait List Pre and Post KanCare



HCBS Waiver Report

Actual MCO Paid Amounts for HCBS Waiver Services by Waiver (CY 2016)

HCBS Populations CY 2016	Total Expenditures for HCBS Services	Unduplicated Count of Consumers	Average Cost Per Consumer
Autism	\$613,637	79	\$7,768
I/DD	\$365,499,665	9,256	\$39,488
Frail Elderly	\$60,989,527	6,260	\$9,743
Physically Disabled	\$108,641,319	6,902	\$15,741
SED	\$8,452,336	4,535	\$1,864
TA	\$24,663,030	537	\$45,927
TBI	\$13,075,505	578	\$22,622
Total	\$581,935,019	28,147	\$20,675

HCBS Monthly Average Caseload Projections

HCBS Populations Projections	Monthly Average SFY18	Monthly Average SFY19
Autism	63	63
I/DD	9,007	9,007
Frail Elderly	4,800	5,000
Physically Disabled	6,082	6,082
SED	3,500	3,500
TA	485	485
TBI	450	450
Total	24,387	24,587

Projected MCO Capitation Rate Cost Breakout for July 1, 2017 to December 31, 2017

CY17 Mid-Year (July 1, 2017 - December 31, 2017) HCBS Rate Cost Breakout								
HCBS Populations	Total Estimated Cost	Cost Attributed to HCBS Services		Cost Attributed to Behavioral Health Services (BHS)	Cost Attributed to Other State Plan Services (Non-HCBS and Non-BHS)	Other Costs (Non-Service)		
		MCO Share of Cost	Member Share of Cost			MCO Admin	Privilege Fee	Health Insurers Premium Fee*
Autism	\$633,821	\$67,594	\$0	\$50,790	\$407,773	\$86,685	\$20,979	\$0
I/DD	\$262,329,653	\$199,418,983	\$4,047,899	\$2,917,574	\$30,064,461	\$17,331,610	\$8,549,126	\$0
Frail Elderly	\$59,758,232	\$37,407,086	\$6,227,211	\$452,781	\$7,516,615	\$6,382,662	\$1,771,877	\$0
Physically Disabled	\$120,160,551	\$69,480,796	\$3,134,212	\$2,842,794	\$32,146,038	\$8,683,138	\$3,873,572	\$0
SED	\$33,071,188	\$4,362,843	\$90,389	\$15,230,571	\$8,356,800	\$3,938,921	\$1,091,664	\$0
TA	\$22,240,518	\$12,785,669	\$8,745	\$62,367	\$7,807,160	\$840,704	\$735,872	\$0
TBI	\$13,439,478	\$9,244,440	\$243,839	\$527,707	\$2,262,291	\$724,426	\$436,776	\$0
Total	\$511,633,441	\$332,767,411	\$13,752,296	\$22,084,584	\$88,561,138	\$37,988,143	\$16,479,866	\$0

*Health Insurers Premium Fee is \$0 for CY 2017 only

PACE Updates:

PACE Enrollment by Site (as of 11.13.17):

- Midland PACE: 253 participants
- Bluestem PACE: 43 participants
- Via Christi HOPE PACE: 260 participants

Key Updates:

- Currently reviewing proposals for new PACE site to serve the following counties:
Johnson, Franklin, Miami, Coffey, Anderson, and Linn
- Once awarded, an approximately 2-year process begins for launching the new site.

PACE Updates:

Via Christi HOPE PACE audits:

- Focused audit was conducted by both State and CMS officials in August 2017 after reports of concerns and deficiencies from a whistleblower.
- CMS and KDADS concluded that VCH failed substantially to provide its participants with medically necessary items and services, which adversely affected its participants.
- Approximately 27 conditions were cited; both clinical and operational deficiencies.
- CMS and Program staff from KDADS and KDHE are aggressively monitoring VCH's progress on its plan of correction.
- VCH PACE participants have the option to receive information about other state programs.

HCBS Policy Updates:

Person-Centered Service Plan (PCSP) Policy:

- KDADS is updating the PCSP policy to reflect CMS and stakeholder feedback. Expecting to gather public comments and feedback throughout December.
- The goals are to develop a participant-centered policy to meet the needs of HCBS Waiver participants, outline the roles and responsibilities of the state and MCOs, and facilitate oversight by KDADS.
- The PCSP policy will emphasize or introduce new standards for the following areas:
 - Step-by-Step walkthrough of PCSP process
 - Timeframes for PCSP completion
 - Interim changes to the service plan
 - Defined roles of the targeted case manager and the MCO care coordinator
 - Clinical best practices and accountability

HCBS Policy Updates:

Person-Centered Service Plan (PCSP) Policy (cont.):

- Key Policy Standards
 - Signature of participant or participant's legal representative on PCSP is required prior to implementation of the PCSP. The plan's contents must be clearly documented, including type, amount, and duration of services established based on participant assessment and PCSP development at the time a signature is obtained. Participant signature does not waive a participant's right to file a grievance or appeal.
 - Participants will have the option, through a Participant Choice Form, to indicate their preferred format for reviewing and signing the PCSP document and any PCSP related documents, i.e. paper or electronic format.
 - The final PCSP document will be provided to the participant according to the method selected in the participant's completed choice form within 30 calendar days of the PCSP meeting.

Follow-up Items:

Sleep Cycle Support/Enhanced Care Services Comparison:

Sleep Cycle Support Services			
Calendar Year 2015			
Waiver	MCO Paid Amount	Unduplicated Persons Served	Average Amount Paid Per Person
I/DD	\$783,901	117	\$6,700
TBI	\$1,077,787	145	\$7,433
PD	\$8,659,309	1,173	\$7,382
FE	\$645,474	177	\$3,647
Total	\$11,166,471	1,612	\$6,927

(Cost per unit = \$30)

Enhanced Care Services (Previously Sleep Cycle)			
Calendar Year 2016			
Waiver	MCO Paid Amount	Unduplicated Persons Served	Average Amount Paid Per Person
I/DD	\$1,403,985	114	\$12,316
TBI	\$2,237,664	130	\$17,213
PD	\$20,506,627	1,129	\$18,164
FE	\$2,866,748	196	\$14,626
Total	\$27,015,024	1,569	\$17,218

(Cost per unit = \$78.30)

Follow-up Items: Capable Person Waiver Language

Capable Person Waiver Language Review:

- KDADS conducted a full review of waiver language related to “capable person” comparing pre- and post-KanCare approved waivers.
- K.A.R. 30-5-307: (a) Neither an adult consumer’s spouse nor a minor consumer’s parents shall be paid to provide HCBS services to that consumer, unless all other possible options are exhausted and one of the following extraordinary criteria is met: (1) Three HCBS provider agencies furnish written documentation that the consumer’s residence is so remote or rural that HCBS services are otherwise completely unavailable; (2) Two health care professionals, including the attending physician, furnish written documentation that the consumer’s health, safety, or social well-being would be jeopardized; (3) The attending physician furnishes written documentation that, due to the advancement of chronic disease, the consumer’s means of communication can be understood only by the spouse or by the parent of a minor child; (4) Three HCBS providers furnish written documentation that delivery of HCBS services to the consumer poses serious health or safety issues for the provider, thereby rendering HCBS services otherwise unavailable. (b) This regulation shall take effect on and after July 1, 1997.

Follow-up Items: Frail Elderly Capable Person Waiver Language

Capable Person Waiver Language Review (cont.):

- For the Frail Elderly Waiver, the following adjustments are noted:
 - For Comprehensive Support services: a legally responsible adult can no longer provide paid supports.
 - For Enhanced Care Services (ECS): No change.
 - For Personal Care Services (PCS): No change on who can be paid to provide services; Language was added that PCS cannot include lawn care, snow removal, shopping, ordinary housekeeping, laundry, and meal preparation; Language was added that the capable person can refuse to perform the above listed services, but cannot be paid to perform them.

Follow-up Items: I/DD Capable Person Waiver Language

Capable Person Waiver Language Review (cont.):

- For the I/DD Waiver, the following adjustments are noted:
 - For Enhanced Care Services (ECS): No changes in who can provide services.
 - For Personal Care Services (PCS): Legally responsible individuals **cannot** be paid providers; Legal guardians **can** be paid care providers; Language was added that the capable person can refuse, in writing, to perform lawn care, snow removal, shopping, ordinary housekeeping or laundry, and/or meal preparation, but cannot be paid to perform these activities.
 - For Supportive Home Care: Legally responsible individuals can no longer be a paid provider; Language was added that the capable person can refuse, in writing, to perform lawn care, snow removal, shopping, ordinary housekeeping or laundry, and/or meal preparation, but cannot be paid to perform these activities.

Follow-up Items: PD Capable Person Waiver Language

Capable Person Waiver Language Review (cont.):

- For the PD Waiver, the following adjustments are noted:
 - For Enhanced Care Services (ECS): Legally responsible individuals providing ECS no longer have to be affiliated with a recognized Center for Independent Living (CIL); No change in who can provide services.
 - For Personal Care Services (PCS): No change in who can be a paid provider; Language was added that PCS cannot include lawn care, snow removal, shopping, ordinary housekeeping, laundry, and meal preparation; Language was added that the capable person can refuse, in writing, to perform lawn care, snow removal, shopping, ordinary housekeeping or laundry, and/or meal preparation, but cannot be paid to perform these activities.

Follow-up Items: TA waiver Capable Person Waiver Language

Capable Person Waiver Language Review (cont.):

- For the TA Waiver, the following adjustments are noted:
 - For Personal Care Services (PCS): No change in who can be a paid provider; Language was added that PCS cannot include lawn care, snow removal, shopping, ordinary housekeeping, laundry, and meal preparation; Language was added that the capable person can refuse, in writing, to perform lawn care, snow removal, shopping, ordinary housekeeping or laundry, and/or meal preparation, but cannot be paid to perform these activities.
 - Specialized Medical Care: No change in who can be paid to provide these services.
- For the TBI Waiver, the following adjustments are noted:
 - For Enhanced Care Services (ECS): No change in who can be a paid provider.
 - For Personal Care Services (PCS): No change in who can be a paid provider.

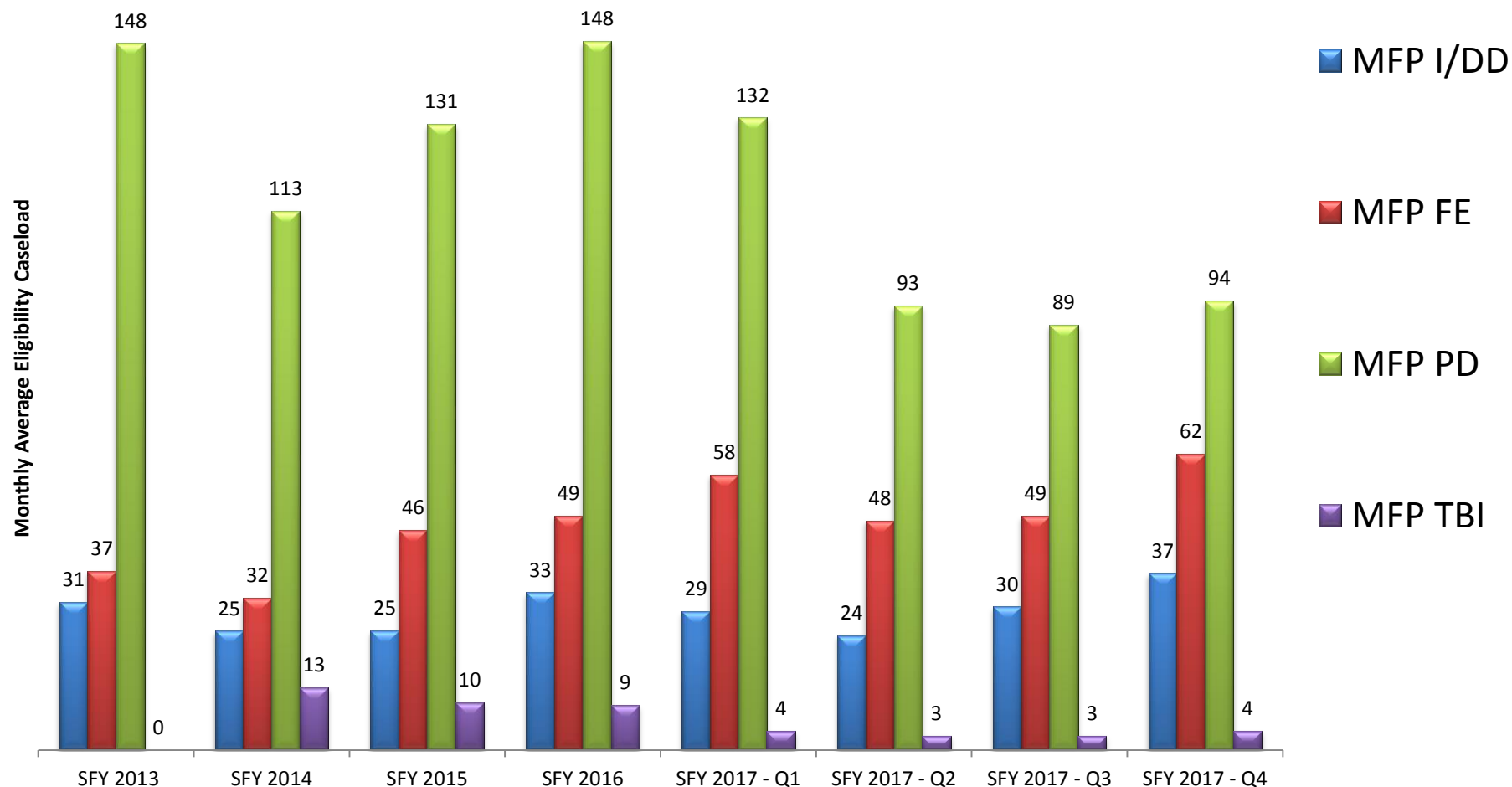
Capable Person Appeals - May 2016 to August 2017

Month	Hearing Held	Affirmed or Denied	Sleep Cycle	Enhanced Care Services	If denied, capable person issue?
May 2016	Yes	Affirmed			
May 2016	Yes	Affirmed		Yes	
May 2016	Yes	Affirmed			
May 2016	Yes	Affirmed			
May 2016	Yes	Partially Affirmed	Yes		Yes
June 2016	No - Withdrawn				
July 2016	Yes	Affirmed			
July 2016	Yes	Partially Affirmed			Yes
July 2016	Yes	Partially Affirmed			Yes
July 2016	No - Dismissed				
July 2016	No - Dismissed		Yes	Yes	
August 2016	Yes	Denied			Yes
August 2016	Yes	Denied			Yes
August 2016	No - Dismissed			Yes	
August 2016	No - Dismissed			Yes	
August 2016	No - Dismissed				
September 2016	No - Dismissed				
September 2016	No - Dismissed				
September 2016	No - Dismissed				
September 2016	No - Withdrawn				
September 2016	Yes	Affirmed			
October 2016	Yes	Denied			Yes
October 2016	No - Dismissed				
October 2016	No - Withdrawn				
October 2016	Ongoing				
November 2016	No - Dismissed		Yes		
November 2016	No - Withdrawn			Yes	
November 2016	No - Dismissed				
December 2016	No - Withdrawn				
December 2016	Yes	Denied			Yes
December 2016	No - Withdrawn				
December 2016	No - Withdrawn				
December 2016	No - Withdrawn				
January 2017	No - Withdrawn				
January 2017	No - Withdrawn				
February 2017	Yes	Affirmed			
February 2017	Yes	Affirmed			
February 2017	No - Withdrawn				
February 2017	Yes	Affirmed		Yes	
March 2017	No - Dismissed				
May 2017	No - Withdrawn				
May 2017	No - Dismissed				
August 2017	Awaiting Decision			Yes	

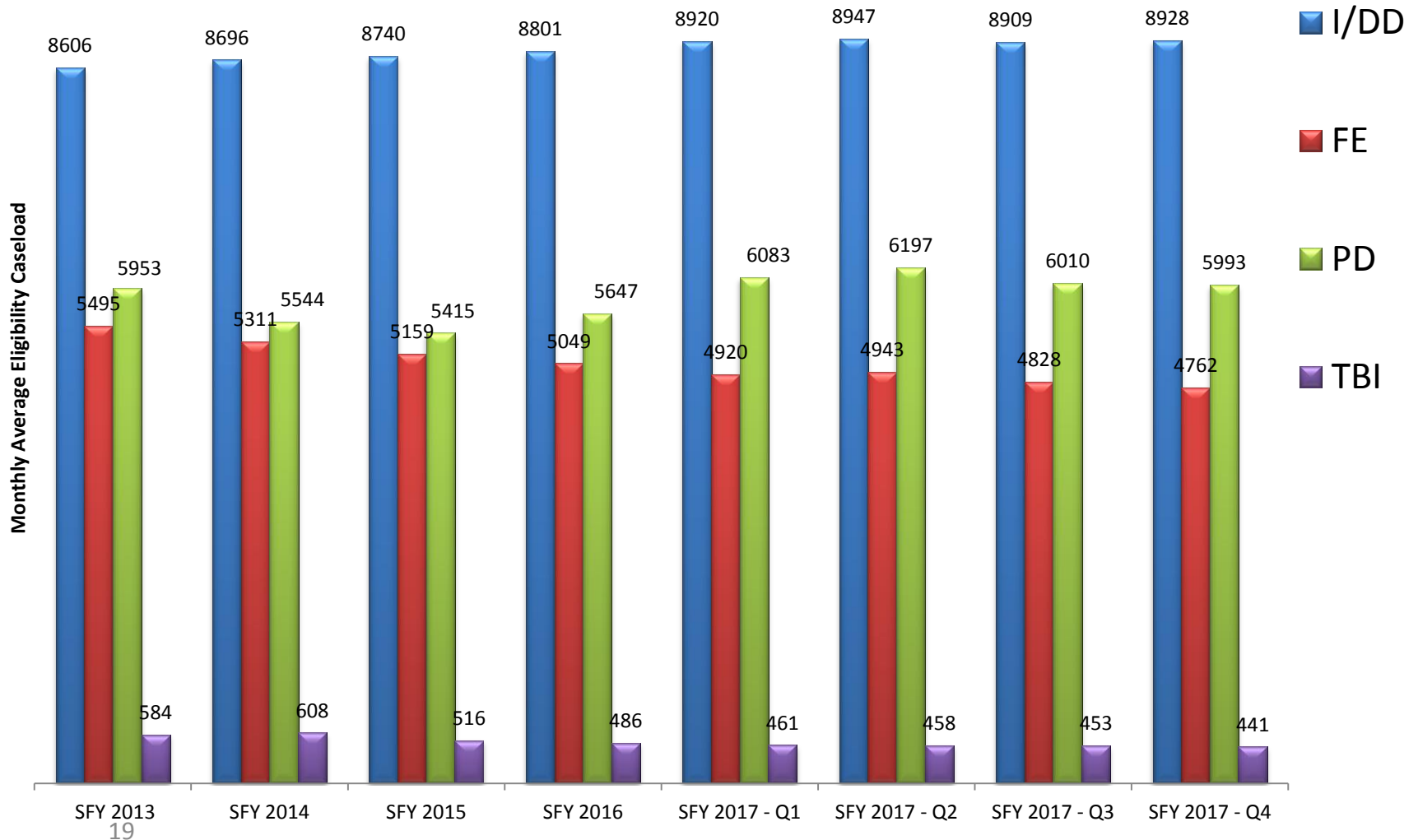
Follow-up Items: Capable Person Appeals Monthly Totals

May 2016	5
June 2016	1
July 2016	5
August 2016	5
September 2016	5
October 2016	4
November 2016	3
December 2016	5
January 2017	2
February 2017	4
March 2017	1
May 2017	2
August 2017	1
Total:	43

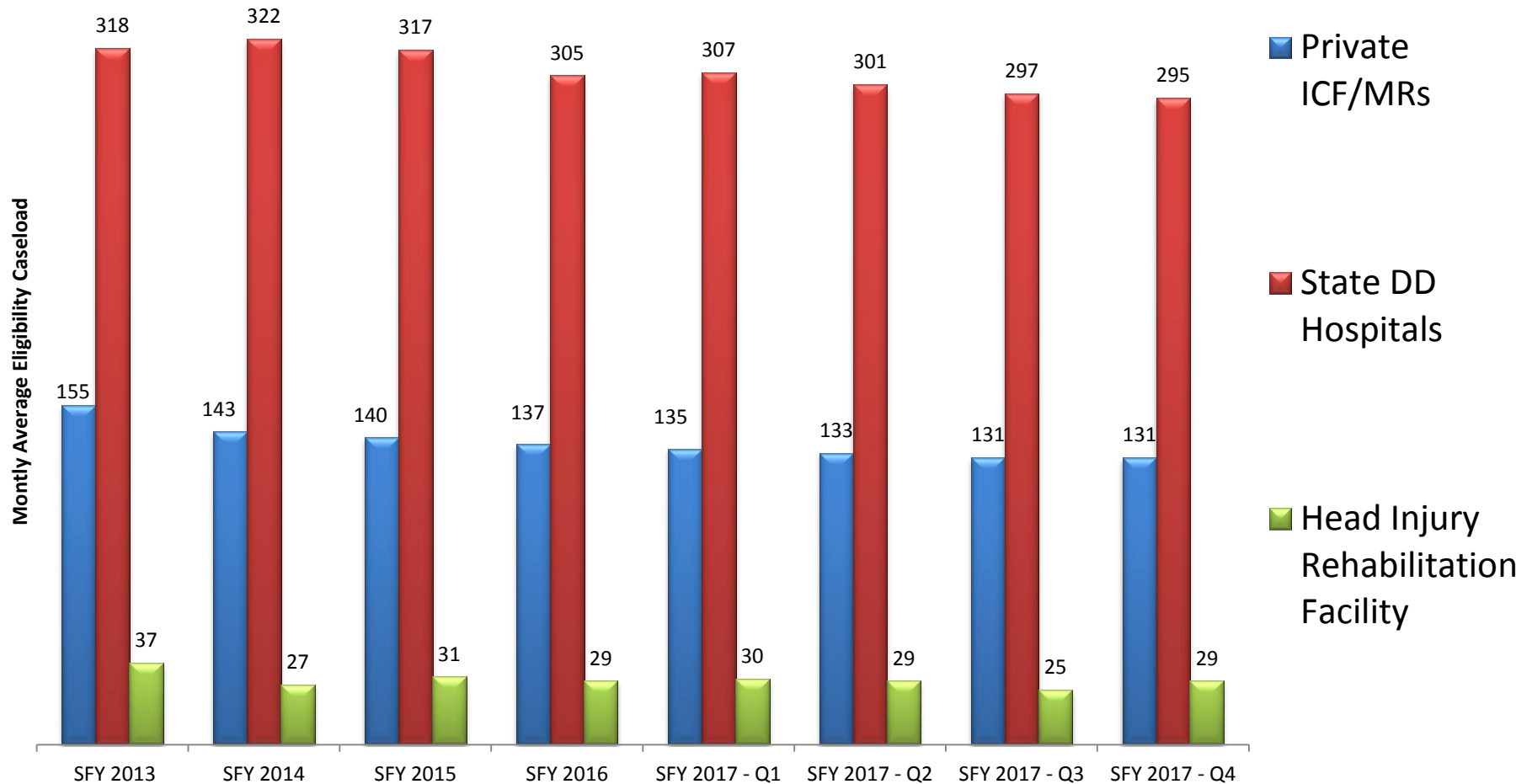
Average Monthly Caseload for HCBS MFP



Average Monthly Caseload for HCBS

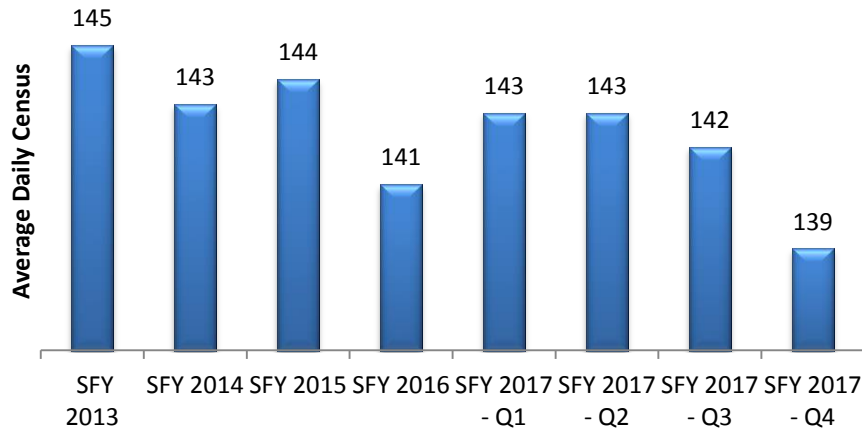


Average Monthly Caseload for State Institutions and Long-Term Care Facilities

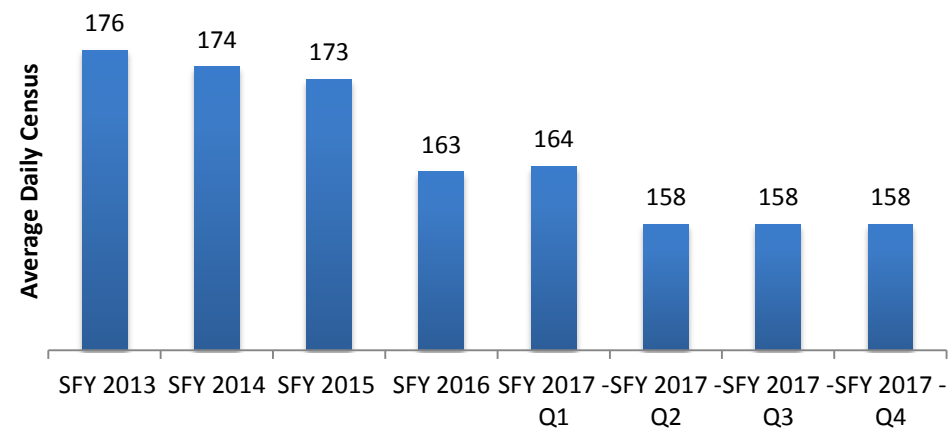


Average Census for State Institutions and Long-Term Care Facilities

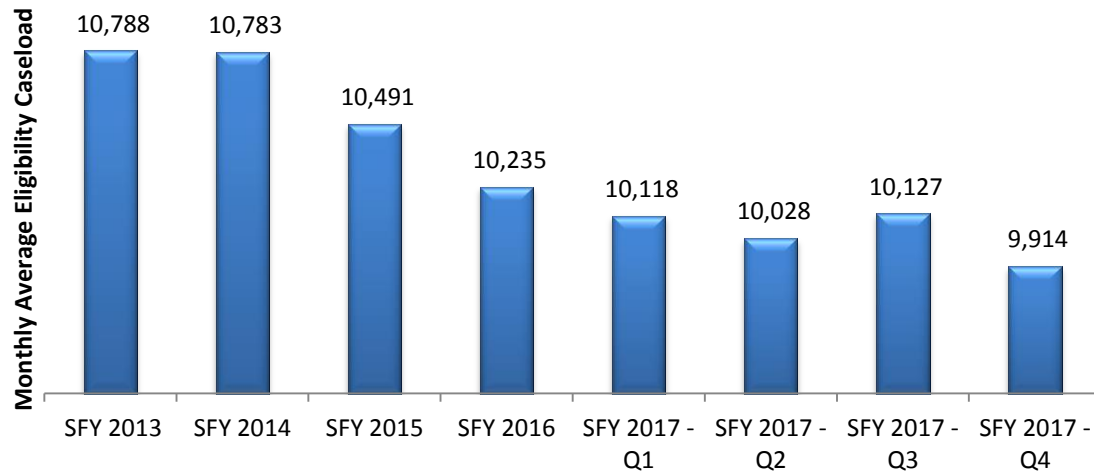
Kansas Neurological Institute



Parsons State Hospital



Nursing Facilities



Psychiatric Residential Treatment Facilities: Monthly Report

November 2017:

CY 2013			CY 2014			CY 2015			CY 2016			CY 2017		
Month	MCO Paid Amt	# Served	Month	MCO Paid Amt	# Served	Month	MCO Paid Amt	# Served	Month	MCO Paid Amt	# Served	Month	MCO Paid Amt	# Served
Jan	\$ 3,757,015	420	Jan	\$ 2,614,431	305	Jan	\$ 2,486,908	311	Jan	\$ 2,468,289	279	Jan	\$ 2,497,084	293
Feb	\$ 2,965,850	371	Feb	\$ 2,434,491	332	Feb	\$ 2,427,877	318	Feb	\$ 2,359,922	325	Feb	\$ 2,314,180	277
Mar	\$ 2,972,668	369	Mar	\$ 2,717,869	330	Mar	\$ 2,652,360	341	Mar	\$ 2,718,926	310	Mar	\$ 2,670,714	254
Apr	\$ 2,783,549	365	Apr	\$ 2,850,938	357	Apr	\$ 2,698,889	335	Apr	\$ 2,471,559	307	Apr		
May	\$ 3,176,489	372	May	\$ 2,839,453	370	May	\$ 2,656,552	325	May	\$ 2,516,658	324	May		
Jun	\$ 2,509,186	311	Jun	\$ 2,683,097	325	Jun	\$ 2,414,527	292	Jun	\$ 2,440,261	281	Jun		
Jul	\$ 2,628,351	309	Jul	\$ 3,134,822	334	Jul	\$ 2,452,002	275	Jul	\$ 2,260,905	284	Jul		
Aug	\$ 2,937,182	330	Aug	\$ 2,493,992	302	Aug	\$ 2,249,063	270	Aug	\$ 2,339,675	271	Aug		
Sep	\$ 2,526,594	283	Sep	\$ 2,624,092	344	Sep	\$ 2,174,899	291	Sep	\$ 2,233,236	271	Sep		
Oct	\$ 2,910,457	339	Oct	\$ 2,915,772	335	Oct	\$ 2,592,817	305	Oct	\$ 2,342,945	285	Oct		
Nov	\$ 2,661,932	324	Nov	\$ 2,665,641	320	Nov	\$ 2,450,039	318	Nov	\$ 2,367,276	273	Nov		
Dec	\$ 2,266,807	303	Dec	\$ 2,415,453	302	Dec	\$ 2,488,178	315	Dec	\$ 2,363,335	283	Dec		
Total	\$ 34,096,079		Total	\$ 32,390,051		Total	\$ 29,744,111		Total	\$ 28,882,988		Total	\$ 7,481,977	



State Hospital Staffing Updates

Larned State Hospital:



Kansas Department for Aging and Disability Services State Hospital Weekly Vacancy Rate

Hospital & Position	Week November 8, 2017 - November 15, 2017				
	FTE's	Filled (Include FMLA, W/C)	Vacant (No Incumbent)	Total of Filled + Vacant = FTE's	Vacancy Rate %
LSH					
Safety & Security Officer	60	47	13	60	21.7%
Physician	12	6	6	12	50.0%
RN	104	66	38	104	36.5%
RN Temp (PRN)	9	5	4	9	44.4%
LPN	51	23	28	51	54.9%
LPN Temp	12	2	10	12	83.3%
LMHT	13	3	10	13	76.9%
MHDD Technician	361	269	92	361	25.5%
MHDD Technician- Temp	39	28	11	39	28.2%

Break Down For Week November 8, 2017 - November 15, 2017						
On Leave (FMLA; W/C No Vacations)	Terms	Hires	Have not completed the Application Process	Ready to be set up for Interview	Interviewed Offer Pending	Offer Made & Accepted
0	0	0	7	4	4	0
0	0	0	0	0	1	0
pending review	1	0	1	1	0	0
0	0	0	0	0	0	0
pending review	0	0	0	0	0	0
0	0	0	0	0	0	0
0	0	0	0	0	0	0
pending review	2	0	10	3	7	2
0	0	0	0	0	0	0

Agency Staff	Current Headcount	Hired	Hiring in Progress	Total Percentage to Vacancy
RN's	14	0	2	1.5%
LPN's	17	0	0	0.3%
CNA's	6	0	0	0.0%

Turnover Rate (percentage) For Week	November 8, 2017 - November 15, 2017	
Positions on this Report	All Hospital Positions	
31.0%	29.0%	

Overall Average Vacancy Rate (percentage)
All Hospital Positions
25.6%

Osawatomie State Hospital:



Kansas Department for Aging and Disability Services State Hospital Weekly Vacancy Rate

Hospital & Position	Week November 8, 2017 - November 15, 2017					Break Down For Week November 8, 2017 - November 15, 2017						
	FTE's	Filled (Include FMLA, W/C)	Vacant (No Incumbent)	Total of Filled + Vacant = FTE's	Vacancy Rate %	On Leave (FMLA; W/C No Vacations)	Terms	Hires	Have not completed the Application Process	Ready to be set up for Interview	Interviewe d Offer Pending	Offer Made & Accepted
OSH												
Safety & Security Officer	32	29	3	32	9.4%	0	0	0	0	0	2	0
Physician	16	15	1	16	6.3%	0	0	0	0	0	0	0
RN	74	59	15	74	20.3%	2	0	0	2	2	2	2
RN Temp (PRN)	24	10	14	24	58.3%	0	0	0	0	0	0	0
LPN	12	12	0	12	0.0%	1	0	0	0	0	0	0
LPN Temp	20	1	19	20	95.0%	0	0	0	0	0	0	0
LMHT	16	15	1	16	6.3%	2	0	0	0	0	0	0
MHDD Technician	144	122	22	144	15.3%	4	0	0	5	1	4	2
MHDD Technician- Temp	13	7	6	13	46.2%	0	0	0	0	0	0	0
Agency Staff	Current Headcount	Hired	Hiring in Progress	Total Percentage to Vacancy								
RN's	22	0		#VALUE!								
LPN's	7	0		#VALUE!								
CNA's	0	0		#VALUE!								
Turnover Rate (percentage) For Week November 8, 2017 - November 15, 2017					Overall Average Vacancy Rate (percentage)							
Positions on this Report				All Hospital Positions	All Hospital Positions							
0				0	18.0%							

State Hospital Overtime Trends:

MH State Hospital Bi-Weekly Hours & Cost of Overtime with Bi-Weekly Percentage of Change									
FY 11-17	Larned			Osawatomie			Total MH Hospitals Average		
Pay Period End	Hours of Overtime	Percent Δ From Last Report	Cost of Overtime	Hours of Overtime	Percent Δ From Last Report	Cost of Overtime	Average Hours of Overtime	Average Percent Δ From Last Report	Average Cost of Overtime
04/08/17	5,017.75	-6.71%	\$125,944.52	1,201.80	-11.73%	\$32,968.67	3,109.78	-9.22%	\$79,456.60
04/22/17	4,947.75	-1.40%	\$119,311.26	1,274.00	6.01%	\$35,112.32	3,110.88	2.31%	\$77,211.79
05/06/17	5,497.25	11.11%	\$131,705.09	1,139.75	-10.54%	\$29,849.60	3,318.50	0.28%	\$80,777.35
05/20/17	5,544.75	0.86%	\$136,412.80	1,374.50	20.60%	\$37,306.19	3,459.63	10.73%	\$86,859.50
06/03/17	6,187.50	11.59%	\$150,416.11	1,715.00	24.77%	\$45,548.84	3,951.25	18.18%	\$97,982.48
06/17/17	5,534.50	-10.55%	\$137,321.05	1,128.30	-34.21%	\$30,103.61	3,331.40	-22.38%	\$83,712.33
07/01/17	5,472.50	-1.12%	\$133,566.47	1,280.00	13.45%	\$33,431.87	3,376.25	6.16%	\$83,499.17
07/15/17	7,084.25	29.45%	\$174,320.10	1,297.30	1.35%	\$33,526.67	4,190.78	15.40%	\$103,923.39
07/29/17	6,393.00	-9.76%	\$160,540.96	1,165.00	-10.20%	\$33,922.51	3,779.00	-9.98%	\$97,231.74
08/12/17	5,181.00	-18.96%	\$128,728.25	1,444.30	23.97%	\$40,244.65	3,312.65	2.51%	\$84,486.45
08/26/17	5,973.75	15.30%	\$151,674.87	1,839.00	27.33%	\$49,942.90	3,906.38	21.31%	\$100,808.89
09/09/17	6,516.00	9.08%	\$166,840.42	1,775.00	-3.48%	\$50,166.59	4,145.50	2.80%	\$108,503.51
09/23/17	6,310.75	-3.15%	\$162,264.38	1,347.50	-24.08%	\$36,518.44	3,829.13	-13.62%	\$99,391.41
10/07/17	6,719.75	6.48%	\$170,196.66	1,278.80	-5.10%	\$36,556.87	3,999.28	0.69%	\$103,376.77
10/21/17	6,402.50	-4.72%	\$166,973.10	1,402.00	9.63%	\$37,906.82	3,902.25	2.46%	\$102,439.96
11/04/17	6,490.75	1.38%	\$168,834.30	1,724.80	23.02%	\$47,289.80	4,107.78	12.20%	\$108,062.05
Σ Total	427,874.05	388.28%	\$9,750,643.33	31,055.05	81.23%	\$844,420.78	286,709.53	744.20%	\$6,612,463.10
Σ Average	3,595.58	3.29%	\$81,938.18	1,411.59	3.69%	\$38,382.76	2,409.32	6.31%	\$55,566.92